PTO-1390 (Rev. 02-2005) Approved for use through 3/31/2007, OMB 6651-0021 Language (1997) (US. DEPARTMENT OF DOMESTICE TO THE DIRECT OF THE STATE OF THE DATE OF THE STATE O

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## TRANSMITTAL LETTER TO THE UNITED STATES **DESIGNATED/ELECTED OFFICE (DO/EO/US)**

ATTORNEY'S DOCKET NUMBER NL030818

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

CONCERNING A SUBMISSION UNDER 35 U.S.C. 371		10/202930		
INTERNATIONAL APPLICATION NO. PCT/IB2004/051123	INTERNATIONAL FILING DATE July 5, 2004	PRIORITY DATE CLAIMED July 11, 2003		
TITLE OF INVENTION ELECTROPHORETIC DISPLAY UNIT				
APPLICANT(S) FOR DO/EO/US Guofu ZHOU; Neculai AILENEI; Masaru YASUI and Mark Thomas JOHNSON				
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:				
1. This is a FIRST submission of items concerning a submission under 35 U.S.C. 371.				
2. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371.				
3. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.				
The US has been elected (Article 31).				
5. A copy of the International Application	A copy of the International Application as filed (35 U.S.C. 371(c)(2))			
a. is attached hereto (required only if not communicated by the International Bureau).				
b.  has been communicated by the International Bureau.				
c. is not required, as the appli	c. is not required, as the application was filed in the United States Receiving Office (RO/US).			
An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).				
a. is attached hereto.	a. is attached hereto.			
b. has been previously submitted under 35 U.S.C. 154(d)(4).				
7. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))				
a. are attached hereto (required only if not communicated by the International Bureau).				
b. have been communicated by the International Bureau.				
c. have not been made; however, the time limit for making such amendments has NOT expired.				
d. An have not been made and	d. Anave not been made and will not be made.			
8. An English language translation of the	An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).			
9. An oath or declaration of the invento	An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).			
10. An English language translation of the Article 36 (35 U.S.C. 371(c)(5)).	ne annexes of the International Preliminary	Examination Report under PCT		
Items 11 to 20 below concern document(	s) or information included:			
11. An Information Disclosure Statemen	t under 37 CFR 1.97 and 1.98.			
12. An assignment document for recording	ing. A separate cover sheet in compliance v	vith 37 CFR 3.28 and 3.31 is included.		
13. A preliminary amendment.				
14. An Application Data Sheet under 37	CFR 1.76.			
15. A substitute specification.		·		
16. A power of attorney and/or change of	of address letter.			
17. A computer-readable form of the sec	quence listing in accordance with PCT Rule	13ter.2 and 37 CFR 1.821- 1.825.		
18. A second copy of the published Inte	mational Application under 35 U.S.C. 154(c	()(4).		
19. A second copy of the English language.  20. Other items or information:	age translation of the international applicational Mail Certificate; PTO/SB/96; Charge Auth	on under 35 U.S.C. 154(d)(4). properties of the confirmation of th		

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
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The following fees have been submitted  21.			
21.			
22.  Examination fee If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4). \$200.00  23.  Search fee Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority. \$100 International Search Report prepared and provided to the Office. \$400 All other situations. \$500  TOTAL OF 21, 22 and 23 = \$900.00  Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.  Total Sheets Extra Sheets Number of each additional 50 or fraction thereof.  Total Sheets extra Sheets Number of each additional 50 or fraction thereof.  Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(h)).  CLAIMS NUMBER FILED NUMBER EXTRA RATE  Total claims 11 - 20 = \$x\$50 \$0.00  Independent claims 4 - 3 = 1 \$x\$200 \$200.00  MULTIPLE DEPENDENT CLAIM(S) (if applicable) + \$360 \$			
23. Search fee Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority.  International Search Report prepared and provided to the Office			
Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium).  The fee is \$250 for each additional 50 sheets of paper or fraction thereof.  Total Sheets  Extra Sheets  Number of each additional 50 or fraction thereof.  RATE  - 100 =  /50 =			
Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.  Total Sheets			
Total Sheets			
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(h)).    CLAIMS			
Claimed priority date (37 CFR 1.492(h)).         NUMBER FILED         NUMBER EXTRA         RATE         \$           Total claims         11         -20 =         x \$50         \$ 0.00           Independent claims         4         -3 =         1         x \$200         \$ 200.00           MULTIPLE DEPENDENT CLAIM(S) (if applicable)         + \$360         \$           TOTAL OF ABOVE CALCULATIONS =         \$ 200.00			
Total claims			
Independent claims         4         -3 =         1         x \$200         \$ 200.00           MULTIPLE DEPENDENT CLAIM(S) (if applicable)         + \$360         \$           TOTAL OF ABOVE CALCULATIONS =         \$ 200.00			
MULTIPLE DEPENDENT CLAIM(S) (if applicable) + \$360 \$  TOTAL OF ABOVE CALCULATIONS = \$ 200.00			
TOTAL OF ABOVE CALCULATIONS = \$ 200.00			
Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2.			
SUBTOTAL = \$ 1,100.00			
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).			
TOTAL NATIONAL FEE = \$ 1,100.00			
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property \$40.00			
TOTAL FEES ENCLOSED = \$ 1,140.00			
Amount to be refunded: \$			
Amount to be charged: \$ 1,140.			
a. A check in the amount of \$ to cover the above fees is enclosed.			
b. Please charge my Deposit Account No. 14-1270 in the amount of \$ 1,140.00 to cover the above fees.  A duplicate copy of this sheet is enclosed.			
c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 14-1270 . A duplicate copy of this sheet is enclosed.			
d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.			
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.			
SEND ALL CORRESPONDENCE TO:			
Corporate Patent Counsel			
Daniel J. Piotrowski			
B O Poy 2001			
P.O. Box 3001 42,079			

## JAP15 Rec'd PCT/PTO 09 JAN 2006

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Atty. Docket

ZHOU ET AL

NL030818

Serial No.

Group Art Unit

Filed: CONCURRENTLY

Ex.

ELECTROPHORETIC DISPLAY UNIT

CERTIFICATE OF EXPRESS MAILING
[X] Express Mail Mailing Label No.
EV 664855176 US
Date of Deposit: JANUARY
I hereby certify that this paper and/or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to the Commissioner for Patents, PO Box, 1450, Alexandria,
VA 22313-1450
Debbie Henn Signature